

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 14455
In Re Application Of: Richard J. Lewis, et al.			
Serial No. 09/806,376	Filing Date October 15, 2001	Examiner Unassigned	Group Art Unit Unassigned
Title: NOVEL PEPTIDES			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231			
<div style="text-align: right; font-weight: bold; font-size: 1.2em;">RECEIVED</div> <div style="text-align: right; font-weight: bold; font-size: 0.8em;">MAY 03 2002</div> <div style="text-align: right; font-weight: bold; font-size: 0.8em;">TECH CENTER 1600/2900</div> <div style="text-align: center; margin-top: 10px;"> 37 CFR 1.97(b) </div> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.</p> <div style="text-align: center; margin-top: 10px;"> 37 CFR 1.97(c) </div> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:</p> <ol style="list-style-type: none"> 1. a Final Action under 37 CFR 1.113, or 2. a Notice of Allowance under 37 CFR 1.311, <p style="margin-left: 40px;">whichever occurs first.</p> <p>Also submitted herewith is:</p> <p><input type="checkbox"/> a certification as specified in 37 CFR 1.97(e);</p> <p style="text-align: center; margin: 10px 0;">OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).</p>			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

14455

In Re Application Of: Richard Lewis, et al.

JAN 15 2002

Serial No.

09/806,376

Filing Date

October 15, 2001

Examiner

Unassigned

Group Art Unit

Unassigned

Title:

NOVEL PEPTIDES

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____ (Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on November 1, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michelle Mustafa
Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Frank S. DiGiglio
Signature

Dated: November 1, 2001

Frank S. DiGiglio
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cc:



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard J. Lewis, et al.

Examiner: Unassigned

Serial No.: 09/806,376

Art Unit: Unassigned

Filed: October 15, 2001

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For: NOVEL PEPTIDES

Dated: November 1, 2001

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Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. International Application No. WO 98/24462, dated June 11, 1998;
2. International Application No. WO 95/11256, dated April 27, 1995;
3. International Application No. WO 99/21878, dated May 6, 1999; and
4. International Application No. WO 99/33482, dated July 8, 1999.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on November 1, 2001.

Dated: November 1, 2001


Michelle Mustafa

The references were cited in a Search Report received from the Australian Patent Office, dated November 11, 1999. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

JAN 15 2002

Docket Number (Optional)
1445

Application Number
09/806,376

Applicant(s)
Richard J. Lewis, et al.

Filing Date
October 15, 2001

Group Art Unit
Unassigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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MAY 03 2002

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 98/24462	6/11/98	PCT			✓	
		WO 95/11256	4/27/95	PCT			✓	
		WO 99/21878	5/6/99	PCT			✓	
		WO 99/33482	7/8/99	PCT			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.